

## Objective & Strategy

The active investment strategy aims to implement NextGen's defensive allocation while focusing on an original thematic approach based on long-term trends such as demographic, social, environmental challenges, and technological developments in order to identify investment opportunities throughout the value chain and across all industries. We select investment vehicles or companies that can deliver performance over the long term, based on deep financial analysis that evaluates strategic positioning, management and financial strength. Our approach aims at offering solutions that consider market, environmental, exchange rate, political and reputational risks and that mitigate risks that may depress asset prices in the short-term.

## Classification

Asset Type	Mixed Allocation
Region	World
Style	ESG Focus

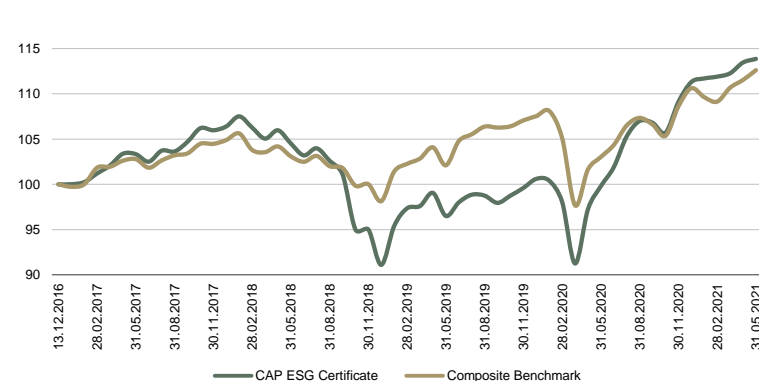
## Performance Table (%)

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Year
Cert 2021	0.36	0.18	0.33	1.06	0.35								2.30
Benchmark	-0.89	-0.45	1.41	0.77	0.97								1.81
Cert 2020	-0.20	-2.34	-6.94	6.58	2.62	2.10	3.34	1.61	-0.19	-1.03	3.22	2.02	10.64
Benchmark	0.53	-2.77	-7.07	4.06	1.35	1.29	2.07	0.78	-0.68	-1.18	3.09	1.85	2.87
Cert 2019	4.72	2.04	0.26	1.49	-2.57	1.55	0.87	-0.10	-0.81	0.82	0.86	1.00	10.43
Benchmark	3.34	0.84	0.57	1.20	-1.91	2.63	0.71	0.81	-0.10	0.13	0.62	0.44	9.58
Cert 2018	1.05	5.01	-1.15	0.88	-1.38	-1.25	0.76	-1.33	-1.46	-6.03	0.00	-4.11	-9.04
Benchmark	5.92	-1.73	-0.24	0.61	-1.05	-0.58	0.63	-1.10	-0.20	-1.92	0.16	-1.88	-1.60
Cert 2017	0.23	0.96	0.87	1.27	-0.05	-0.80	1.19	-0.08	1.03	1.44	-0.22	0.40	6.39
Benchmark	0.27	1.84	0.10	0.66	0.16	-0.92	0.79	0.55	0.20	1.03	-0.01	0.40	5.18

## Certificate Facts

Quote	113.86
Share Class Currency	CHF
Issue Date	13 December 2016
Registration	Switzerland
Quotation	Daily
Domicile	Switzerland
ISIN	CH0332709952
Target Clients	Qualified Investors
Subscription/Redemption	Daily / Daily
Subscription Notice	0 Day
Redemption Notice	0 Day
Management Fee	1.25%
TER	1.85%
Minimum Investment	1 Certificates
Number of Positions	21
Legal Status	Open-ended
Dividend Distribution	Capitalised
Benchmark	Composite Benchmark
In Scope	Yes

## Comparative Performance



## Statistics

	Certificate (%)	Index (%)
Last Month Return	0.35	0.97
Last 3 Months Return	1.75	3.18
YTD Return	2.30	1.81
Since Inception	20.96	16.34
Annualized Return	2.93	2.68
Annualized Volatility	7.83	6.35
Sharpe Ratio	0.31	0.34
% of Positive Months	64.81	64.81
Major Drawdown	-6.94	-7.07
Beta	0.91	
Correlation	0.75	

## \*Index Composition

25% (equally weighted) USD, EUR, CHF Sovereign Bonds + 10% Global Corporate Bonds + 5% Global High Yield + 10% European Equities + 5% Swiss Equities + 10% US Equities + 5% EM Market Equities + 10% Asia Pacific Equities + 10% Long/Short Equities + 10% Gold

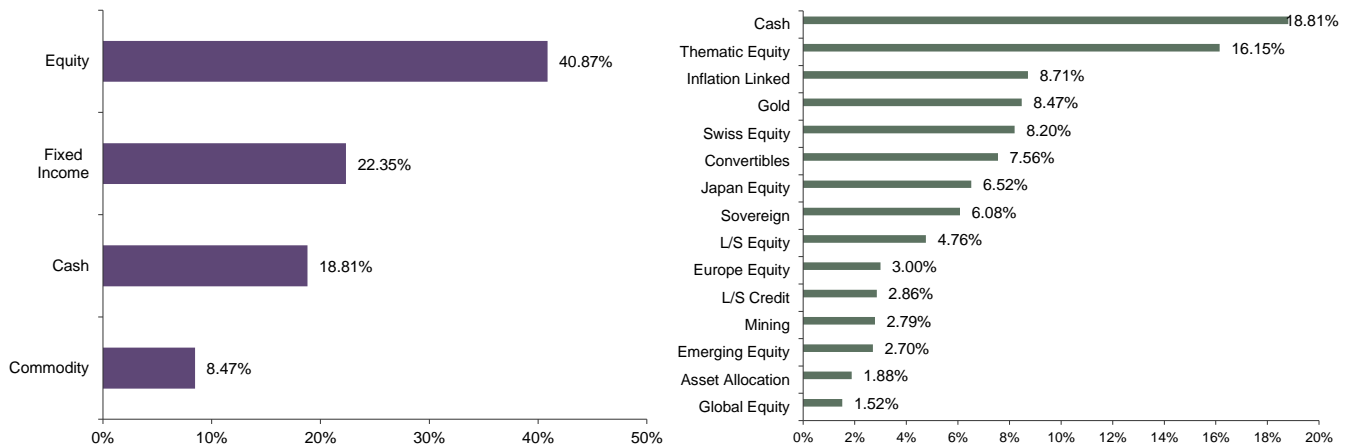
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## CAP ESG Certificate

nextgen  
WEALTH MANAGERS

31.05.2021

### Allocation



### Monthly Top Five Position Cont.

Name	Rel. Cont.
NATIX-THMATIC SAFETY-HIA CHF	0.47%
RS SUS HEALTHY LIVING EQ-D U	0.14%
PICTET-GLOBAL ENVIRONMEN-USD	0.11%
CS ROBOTICS EQUITY FND-B USD	0.09%
PICTET CH PRE MET-P G-PDYCHF	0.09%

### Monthly Worst Five Position Cont.

Name	Rel. Cont.
BUTLER CREDIT OPPO FD-B P C	0.02%
ELEV-ABS LRET EUR FD-RCHF AH	0.02%
PICTET CH-SWISS EQUITIES-IDY	0.03%
LAZARD CONVERTIBLE GLOB-ICHC	0.03%
AXA WLD-GL INF SH DUR-I CHF	0.03%

### Top 10 Holdings

Name	Weight (%)
AXA WLD-GL INF SH DUR-I CHF	8.69%
PICTET CH PRE MET-P G-PDYCHF	8.09%
YUKI JAPAN REBOUND GROW-USD	6.55%
ROBECO QI GBL DYN DUR DH CHF	6.09%
VONTOBEL CH-ETHOS EQ SW S-A	5.43%
ELEV-ABS LRET EUR FD-RCHF AH	4.78%
DYNASTY SICAV-DY GL CON-BCHF	4.74%
NATIX-THMATIC SAFETY-HIA CHF	3.76%
CS ROBOTICS EQUITY FND-B USD	3.52%
PICTET-GLOBAL ENVIRONMEN-USD	3.47%
CASH	18.81%

### Funds AUM

>500m	60.89%
100m to 500m	12.18%
<100m	8.12%
Cash	18.81%

### Certificate Information

Custodian	Bank Julius Baer & Co. Ltd, Zürich
Advisor	NextGen Wealth Managers

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